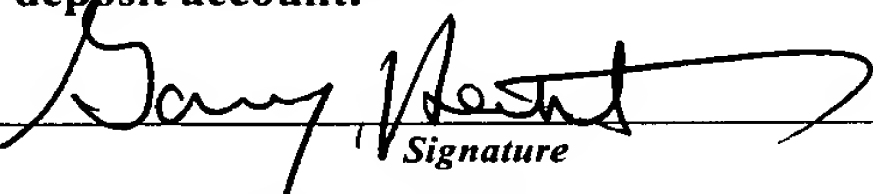


32-08-05

2573

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. <u>2573</u>	
Applicant(s): <u>Y. Shiraizaki</u>			24,943 USA	
Application No. <u>09/823,767</u>	Filing Date <u>02/30/2001</u>	Examiner <u>D. J. Collins</u>	Customer No. <u>23307</u>	Group Art Unit <u>6449</u>
Invention: <u>OPTICAL ELEMENT HOLDING DEVICE FOR EXPOSURE APPARATUS</u>				
<p>I hereby certify that the following correspondence:</p> <div><p>Transmittal of Information Disclosure Statement, Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement, Information Disclosure Citation</p><p>(Identify type of correspondence)</p></div> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p><u>February 7, 2005</u> (Date)</p> <div><p><u>Cruz Calderon</u> (Typed or Printed Name of Person Mailing Correspondence)</p><p><u>[Signature]</u> (Signature of Person Mailing Correspondence)</p><p><u>EV 591077915 US</u> ("Express Mail" Mailing Label Number)</p></div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 24,943 USA	
In Re Application Of: Y. Shibasaki						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/823,767	03/30/2001	D. J. Collins	23307	2873	6449	
Title: OPTICAL ELEMENT HOLDING DEVICE FOR EXPOSURE APPARATUS						
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents</p> <p>P.O. Box 1450</p> <p>Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> 37 CFR 1.97(b) </div> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(c) </div> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> OR </div> <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>						

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 24,943 USA	
In Re Application: Y. Shibasaki						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
09/823,767	03/30/2001	D. J. Collins	23307	2873	6449	
Title: OPTICAL ELEMENT HOLDING DEVICE FOR EXPOSURE APPARATUS						
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. </p> <p> <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 19-5425 as described below. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ </div> <div style="margin-left: 40px;"> <input type="checkbox"/> Credit any overpayment. </div> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> Charge any additional fee required. </div> <p> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. </p> <p> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 48%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450." </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <p> *This certificate may only be used if paying by deposit account. </p> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 20px;"> <div style="width: 45%;">  <p style="text-align: center; margin-top: 5px;">Signature</p> <p> Gary A. Hecht, Reg. No. 36,826 SYNNESTVEDT & LECHNER LLP 1101 Market Street 2600 Aramark Tower Philadelphia, PA 19107-2950 Tele: (215) 923-4466 Fax: (215) 923-2189 </p> </div> <div style="width: 45%; text-align: right;"> <p>Dated: February 7, 2005</p> </div> </div> <p style="margin-top: 20px;">CC:</p>						

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
24,943 USA

In Re Application Of: Y. Shibasaki

FEB 07 2005

Application No.
09/823,767

Filing Date
03/30/2001

Examiner
D. J. Collins

Customer No.
23307

Group Art Unit
2873

Confirmation No.
6449

Invention: **OPTICAL ELEMENT HOLDING DEVICE FOR EXPOSURE APPARATUS**
COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

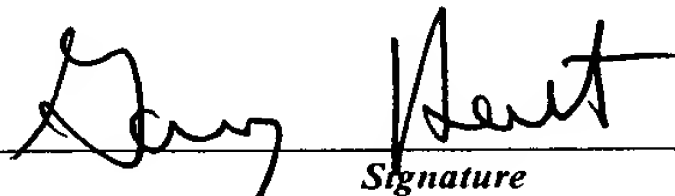
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: February 7, 2005

Gary A. Hecht, Reg. No. 36,826
SYNNESTVEDT & LECHNER LLP
1101 Market Street
2600 Aramark Tower
Philadelphia, PA 19107-2950
Tele: (215) 923-4466
Fax: (215) 923-2189

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____.

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

01R5

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

FEB 07 2005

PATENT & TRADEMARK OFFICE

Docket Number (Optional)

24,943 USA

Applicant(s)

Shibazaki

Filing Date

03/30/2001

Application Number

09/823,767

Group Art Unit

2873

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,638,223	06/10/1997	Ikeda	359	827	11/03/1995
	AB	5,822,133	10/13/1998	Mizuno et al.	359	696	08/01/1996

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL	EPO 0 742 492 A1	13.11.1996	EPO	G03F	7/20	✓	
	AM	JP 10 - 186196	14.07.1998	JAPAN (English Abstract)	G02B	7/02		✓

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Search Report dated December 23, 2004 in related application EP 01 10 8020

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820
(also form PTO-1449)

P09A/REV05

Patent and Trademark Office * U.S. DEPARTMENT OF COMMERCE

SHEET 1 OF 1